

## Legal Framework For..

# Managing Fraud Risk in the Public Sector

Addressing the impact of the legal framework on preventing, detecting and mitigating fraud risk in the public sector

### KEY ISSUES TO BE ADDRESSED INCLUDE:

- ❖ Key features of a robust risk management framework for fraud prevention
- ❖ Legal considerations in developing a risk management framework
- ❖ Legal and practical strategies in tracing assets lost in a fraud
- ❖ Legal requirements and guidelines in reporting and invest
- ❖ Legal obligations when fraud is detected
- ❖ Legal procedure when a fraud case is taken to court
- ❖ Costs, penalties and reparation orders if fraud is proven
- ❖ Helpful tips if required to give evidence in court in a fraud case
- ❖ Risks associated with confidential information
- ❖ How to write an enquiry report when fraud is detected
- ❖ What kinds of fraud can occur in a contract/procurement process
- ❖ How to prevent fraud in a contract/procurement process
- ❖ Legal requirements and guidelines for managing confidential information
- ❖ Managing and mitigating risks in overseas projects
- ❖ Reporting, reviewing and auditing outcomes

### Who Should Attend?

Directors, Senior Managers, Managers and Officers responsible for:

- \* Risk Management
- \* Internal Audit
- \* Legal Advisory Roles
- \* Financial Management
- \* Fraud Management
- \* Assurance
- \* Governance
- \* Compliance

### TO REGISTER CALL NOW!

TEL: (02) 95852304 FAX: (02) 95852094, Email: [info@learningnetworks.com.au](mailto:info@learningnetworks.com.au)

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## CONFERENCE OVERVIEW

Combating fraud is a realistic and key challenge for all organisations and the public sector is not an exception. Fraud and corruption are real issues that not only prejudice the government but also the wider community. The potential impact of fraud and corruption represents significant risks to organisational assets and reputation. It is hence necessary for organisations to have a viable and robust risk management strategy in place and demonstrate that there is a genuine concern in combating fraud. The legal framework is one of the key constituents of such a framework and it lays down the basic principles in combating fraud.

'*Learning Network Solutions*' is proud to present this one day conference with new sessions and key current issues. The conference will detail the legal issues that play an important role in preventing, detecting and mitigating risks that arise from fraudulent activities. Backed by an excellent speaking faculty and 7 sessions, it will explore the legal factors that must be considered when developing a fraud management strategy. It will detail the laws that help, that can potentially harm, that clearly spell out user responsibilities and that protect your interests.

## PROGRAM AGENDA

Thursday 29<sup>th</sup> July, 2010

8.15 – 8.50

**REGISTRATION & MORNING TEA ON ARRIVAL**

8.50 - 9.00

**OPENING REMARKS BY THE CHAIR**

**Hermann Metz**, Manager Forensics and Data Centre Business Support, **Australian Federal Police**

9.00 – 10.00: **SESSION 1**

### Key parameters in developing a robust risk management framework for fraud prevention

- Establish a targeted, effective risk policy structure
- Facilitate the uptake of an effective, practical risk management environment
- Ensure the governance, risk and compliance balance is understood
- Hope for 100% compliance, plan for reality

**Presented by: Tom McDonald**, Director Legal and Insurance, **Department of Treasury**

10.00 - 10.15

**NETWORKING TEA BREAK**

10.15 - 11.10: **SESSION 2**

### Legal and practical strategies for tracing and recovering assets lost in a fraud

**Presented by: Grant Bonner**, Partner, **Norton Rose**

11.10 – 12.05: **SESSION 3**

### Legal requirements in reporting and investigating fraud

- What obligations you have when you detect a fraud
- Legal requirements for reporting fraud
- Legal requirements when investigating fraud “in-house”
- Due process in an administrative inquiry and a criminal investigation, and writing a fraud inquiry/investigation report

**Presented by: Dr Stephen Thompson**, Special Counsel, **Sparke Helmore**

**12.05 – 1.05: SESSION4****Managing risks in overseas capacity building projects**

- Risk Identification and mitigation
- Managing client expectations
- Reporting, reviewing and auditing outcomes

**Presented by: Hermann Metz**, Manager Forensics and Data Centre Business Support,  
**Australian Federal Police**

**Mark Sullivan**, Coordinator Planning and Governance, **Australian Federal Police**

**1.05 – 2.00: Networking Lunch****2.00 – 2.55: SESSION5****Legal requirements and practical techniques for preventing fraud in contracts management and procurement**

- Proactive prevention strategies
- Legal safeguards and monitoring
- Reviewing of policies and processes
- Supplier selection and evaluation methods
- Bidding and quotation procedures
- What types of fraud may occur and during what stages of the procurement

**Presented by: Alexandra Wedutenko**, Partner, **Clayton Utz**

**2.55 – 3.50: SESSION 6****Legal procedure in a fraud case**

- The legal process in a fraud case
- Defending a fraud allegation in the courts
- Helpful tips if required to give evidence in court
- Costs, penalties and reparation orders if fraud is proven

**Presented by: Ben Aulich**, Director, **Ben Aulich & Associates**

**3.50 – 4.05: Networking Tea Break****4.05 – 5.00: SESSION 7****Management of confidential information and risks: Fraud and confidential information**

- What is classified as confidential information
- Legal requirements and guidelines for managing confidential information
- What are the risks involved due to improper management of confidential information
- Records management – whose job is it anyway

**Presented by: Alison Castle**, Senior Associate, **Norton Rose**

**5.00 – 5.10: SUMMING UP AND CLOSING REMARKS BY THE CHAIR**

**Legal Framework For..  
MANAGING FRAUD RISK IN THE  
PUBLIC SECTOR**

**1 Day Conference: Thursday, 29<sup>th</sup> July  
Venue: Cliftons Centre , Canberra**

**REGISTRATION FORM**

**\* Three Ways To Register:**

**Tel: (02) 9585 2304**

**Fax: (02) 9585 2094**

**Email: [info@learningnetworks.com.au](mailto:info@learningnetworks.com.au)**

**INVESTMENT TOTAL:**

Register by 15<sup>th</sup> June: \$950 + GST (\$ 1045)

Register after 15th June: \$1150 + GST (\$ 1265)

**First Delegate:**

Name \_\_\_\_\_

Position \_\_\_\_\_

Email \_\_\_\_\_

**Second Delegate:**

Name \_\_\_\_\_

Position \_\_\_\_\_

Email \_\_\_\_\_

**Third Delegate:**

Name \_\_\_\_\_

Position \_\_\_\_\_

Email \_\_\_\_\_

**Organisation:** \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Sign: \_\_\_\_\_

- \* Invoice shall be sent after receipt of the registration**
- \* Cheques made payable to *Learning Network Solutions***
- \* Please quote company name & invoice no. for EFT payments.**

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**GROUP DISCOUNTS: Only one discount applies**

- Register 2 or 3 delegates and a 10% discount on the total package
- Register 4 delegates and get a free pass for the 5<sup>th</sup> delegate
- Register 6 delegates and get 2 additional free passes
- Please contact us for a customized package of more than 10 delegates

**VENUE**

Cliftons Centre, Canberra, Level 2, 10 Moore St  
Tel: 1800629088

**DOCUMENTATION & LUNCH**

Conference documentation provided by speakers will be supplied to delegates at the venue.

Lunch and refreshments will be provided at the venue and are included in the registration investment.

**PRIVACY POLICY**

We respect your privacy and hence we have a strict privacy policy where we do not have mailing lists. We do not send out hard copies or emails without the consent of the receiver.

**CANCELLATION POLICY**

Cancellations made after the early bird offer will attract a 10% cancellation fee. For cancellations done within 2 weeks of the program you are liable to make full payment and no refund can be made. We will issue you a credit note that could be used to attend any of our future events of the same amount. If event is not held due to any reason, our liability is limited to the event fee only. In any event our liability is limited to the event fee only.

**PROGRAM CHANGES**

We reserve the right to make changes in the program and the panel of speakers.

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